FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Chapman H. Paul</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol Capitala Finance Corp. [ CPTA ]										tionship of Reporti all applicable) Director		,	Issuer Owner		
(Last) (First) (Middle) C/O CAPITALA FINANCE CORP.						3. Date of Earliest Transaction (Month/Day/Year) 06/18/2014										Office	er (give title v)	Oth belo	er (specify w)	
4201 CONGRESS STREET, SUITE 360					4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)	OTTE	NC	2	8209											X	Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)		(Sta	te) (2	Zip)																
			Tabl	e I - Nor	n-Deriva	ative	Se	curitie	s Acc	quired,	Dis	posed o	f, oı	r Ben	eficia	ally (	Owne	ed		
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da				Execution   ay/Year)   if any			cution Date,		Transaction Dispose Code (Instr. 5)		ities Acquired (A) d Of (D) (Instr. 3,				5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership		
										Code	v	Amount	- 1	(A) or (D) Price			Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)
Common Stock 06/18/					/2014				P		5,000	) A \$		\$18	.68	7,500		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversi or Exerci Price of Derivativ Security	on se	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date,		ransaction ode (Instr.				exercis on Dat Day/Ye		7. Title and Amount of Securities Underlying Derivative Security (Instr and 4)			Deriv	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership (Instr. 4)
						Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	or Nur of	ount nber res					

**Explanation of Responses:** 

/s/ Richard Wheelahan, as attorney-in-fact

06/18/2014

\*\* Signature of Reporting Person

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.